| FULL-SERVICE BROKERAGE FEE AND COMMISSION SCHEDULE | | |
|--|--|---------------------------|
| STOCKS and EXCHANGE TRADED FUNDS(ETFs)* | PRINCIPAL AMOUNT | COMMISSION |
| | First \$25,000.99 | Principal X 0.95 % + \$25 |
| | \$25,001 - \$50,000.99 | Principal X 0.75 % + \$25 |
| | \$50,001 - \$100,000.99 | Principal X 0.65 % + \$25 |
| | \$100,001 - \$500,000.99 | Principal X 0.55 % + \$25 |
| | \$500,001 + | Principal X 0.45 % + \$25 |
| | An overriding minimum of \$.05 per share will apply to all stock transactions | |
| | Good 'til cancelled order (GTC) An order to buy or sell stock that is good until the client executes or cancels it. Brokerages usually set a limit of 30-60 days, at which the GTC order expires if not restated. (Different from a day order.) | |

| MUTUAL FUNDS | No Load | \$50.00 (transaction fee for all no load transactions) |
|--------------|---------|--|
| | Load | Applicable load as stated in the prospectus |

| LISTED OPTIONS* | Contracts up to \$0.99 | \$35.00 + \$3.00 per contract |
|-----------------|----------------------------|-------------------------------|
| | Contracts \$1.00 and above | \$35.00 + \$7.00 per contract |

| Huntington Financial Advisors may act as principal, riskless principal or agent. When acting as principal or riskless principal, bonds will be subject to a markup or markdown included in the quoted price. | |
|--|-------------------------|
| When acting as agent | 48.50 + \$3.50 per bond |

| WEALTHSCAPE INVESTOR (Online Trading) | STOCKS AND ETFs* | | \$19.99 <i>(per transaction)</i> |
|--|------------------|-------------------------------|--|
| | MUTUAL FUNDS | No Load | \$50.00 (transaction fee for all no load transactions) |
| | | Load | Applicable load as stated in the prospectus |
| | LISTED OPTIONS* | Contracts up to \$0.99 | \$20.00 + \$1.50 per contract |
| | | Contracts \$1.00 and above | \$20.00 + \$3.00 per contract |

| MARGIN INTEREST RATES | Borrowed Amount | Rate (NFBLR = National Financial Base Lending Rate) |
|----------------------------|-----------------|--|
| | < \$24,999 | NFBLR + 2.50% |
| \$25,000 to \$99,999 NFBLR | | NFBLR + 2.00% |
| | >\$100,000 | NFBLR + 1.50% |

*Options transactions, and sales of equities and ETFs, are subject to a regulatory transaction fee, which appears on trade confirmations as the "Activity AssessmentFee" when applicable.



| ACCOUNT FEES | \$35 | Annual maintenance fee for IRA and retirement accounts (an additional \$10 fee is imposed for liquidation of securities to cover the annual maintenance fee) Not applicable for self-directed brokerage accounts | |
|---|---|---|--|
| | \$125 | Termination fee for IRA and retirement accounts | |
| | \$50** | Outgoing transfer fee for brokerage accounts (excludes NFS prototype retirement accounts) | |
| | \$75 | Late payment/slow delivery of securities | |
| | \$5** | Transaction fee to cover postage and handling for all stock, listed option and bond transactions | |
| | \$20** | Outgoing wire fee | |
| | \$30** | Returned checks/not sufficient funds | |
| | \$30** | Stop payment or reissue of checks | |
| | \$150 | Processing of restricted stock (per CUSIP) | |
| | \$12 | Trade or margin extension | |
| | \$150 | Physical reorganization | |
| | \$10** | Fee for mailing of trade delinquency notice | |
| | \$35 | Alternative Investment fee (per position annually) | |
| | | | |
| | Direct pass-throug | gh and subject to change. Current minimum pricing is as follows: | |
| | \$15 | Transfer and ship (DRS eligible) (per CUSIP) | |
| | \$500 Transfer and ship (DRS e | Transfer and ship (DRS eligible with physical certificate mailed) (per CUSIP) | |
| | \$500 | Transfer and ship (non-DRS eligible) (per CUSIP) | |
| | \$10 | Safekeeping of Securities (per certificate per month) | |
| | \$5** | Small balance fee and possible account closure: Any account, including retirement and non-retirement accounts, that maintains a low balance or a residual cash value of \$5.00 or less may be assessed an account closure fee of up to \$5.00. If the balance in the account is \$5.00 or less, the fee will be assessed up to the balance amount and the account may be closed. | |
| | Interest will be charged for late payment of trades | | |
| SELF-DIRECTED ACCOUNT FE requirements). | E AND COMMISSION | SCHEDULE (Conditional Requirements. Consult your Financial Advisor for eligibility | |
| STOCKS and EXCHANGE TRADED FUNDS (ETFs)* | Unlimited online trading \$0 commissionClient Services assisted trading \$19.99 (per transaction) | | |
| MUTUAL FUNDS | No load | \$50.00 (transaction fee for all no-load transactions) | |
| | Load | Applicable load as stated in the prospectus | |
| ACCOUNT FEES | See "Account Fees" above (Annual account maintenance fee for IRAs waived) | | |

*Options transactions and sales of equities and ETFs are subject to a regulatory transaction fee, which appears on trade confirmation as the "Activity Assessment Fee" when applicable.



**Huntington Financial Advisors retains all or a portion of this fee. This is a conflict of interest as we have an incentive to utilize a clearing firm that allows us to mark-up certain fees and charges.

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