



FULL-SERVICE BROKERAGE FEE AND COMMISSION SCHEDULE			
STOCKS and EXCHANGE TRADED FUNDS (ETFs)*	PRINCIPAL AMOUNT	COMMISSION	
	First \$25,000.99	Principal X 0.95 % + \$25	
	\$25,001 – \$50,000.99	Principal X 0.75 % + \$25	
	\$50,001 – \$100,000.99	Principal X 0.65 % + \$25	
	\$100,001 – \$500,000.99	Principal X 0.55 % + \$25	
	\$500,001 +	Principal X 0.45 % + \$25	
	An overriding minimum of \$.05 per share will apply to all stock transactions.		
	Good 'til cancelled order (GTC): An order to buy or sell stock that is good until the client executes or cancels it. Brokerages usually set a limit of 30-60 days, at which the G.T.C. order expires if not restated. (Different from a day order.)		
MUTUAL FUNDS	No Load	\$50.00 (transaction fee for all no-load transactions)	
	Load	Applicable load as stated in the prospectus	
LISTED OPTIONS*	Contracts up to \$0.99	\$35.00 + \$3.00 per contract	
	Contracts \$1.00 and above	\$35.00 + \$7.00 per contract	
BONDS	Huntington Financial Advisors may act as principal, riskless principal, or agent. When acting as principal or riskless principal, bonds will be subject to a markup or markdown included in the quoted price.		
	When acting as agent	\$48.50 + \$3.50 per bond	
WEALTHSCAPE INVESTOR (Online Trading)	STOCKS and ETF's	\$19.99 (per transaction)	
	MUTUAL FUNDS	No Load	\$50.00 (transaction fee for all no-load transactions)
		Load	Applicable load as stated in the prospectus
	LISTED OPTIONS	Contracts up to \$0.99	\$20.00 + \$1.50 per contract
		Contracts \$1.00 and above	\$20.00 + \$3.00 per contract
MARGIN INTEREST RATES	Borrowed Amount	Rate (NFBLR = National Financial Base Lending Rate)	
	< \$24,999	NFBLR + 2.50%	
	\$25,000 to \$99,999	NFBLR + 2.00%	
	> \$100,000	NFBLR + 1.50%	

\*Options transactions and sales of equities and ETFs are subject to a regulatory transaction fee, which appears on trade confirmation as the "Activity Assessment Fee" when applicable.

<b>ACCOUNT FEES</b>	\$50	Annual maintenance fee for IRA and retirement accounts ( <i>an additional \$10 fee is imposed for liquidation of securities to cover annual maintenance fee</i> )  <b>Not applicable for Self-Directed Brokerage Accounts</b>
	\$125	Termination fee for IRA and retirement accounts
	\$50	Outgoing transfer fee for brokerage accounts (excludes NFS prototype retirement accounts)
	\$75	Late payment/slow delivery of securities
	\$5	Transaction fee to cover postage and handling for all stock, listed option and bond transactions
	\$20	Outgoing wire fee
	\$30	Returned checks/not sufficient funds
	\$30	Stop payment or reissue of checks
	\$150	Processing of restricted stock (per CUSIP)
	\$12	Trade or margin extension
	\$36	Physical reorganization
	\$10	Fee for mailing of trade delinquency notice
	\$35	Alternative Investment fee (per position annually)
	Direct pass-through and subject to change. Current minimum pricing is as follows:	
	\$10	Transfer and ship (DRS eligible) (per CUSIP)
	\$155	Transfer and ship (DRS eligible with physical certificate mailed) (per CUSIP)
	\$110	Transfer and ship (non-DRS eligible) (per CUSIP)
	Interest will be charged for late payment of trades.	

<b>SELF-DIRECTED ACCOUNT FEE AND COMMISSION SCHEDULE</b>		
<b>STOCKS and EXCHANGE TRADED FUNDS (ETFs)*</b>	Unlimited online trading \$0 commission	Client Services assisted trading \$19.99 ( <i>per transaction</i> )
<b>MUTUAL FUNDS</b>	No Load	\$50.00 ( <i>transaction fee for all no-load transactions</i> )
	Load	Applicable load as stated in the prospectus
<b>ACCOUNT FEES</b>	See "Account Fees" above ( <i>Annual account maintenance fee for IRAs waived</i> )	

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